

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Boaz et al.

Serial No.:

10/721,714

**Group Art Unit:** 

1624

Filed:

November 25, 2003

Examiner:

**Bruck Kifle** 

For:

HIGHLY ENANTIOMERICALLY PURE LACTAM-SUBSTITUTED PROPANOIC

ACID DERIVATIVES AND METHODS OF MAKING AND USING SAME

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicants are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents may be found in parent application Serial No. 09/957,182, now issued as U.S. Patent 6,686,477, of which the instant case is a divisional application.

The Information Disclosure Statement submitted herewith is being filed, to the knowledge of the undersigned, before the mailing date of a first Office Action on the merits.

Respectfully submitted.

**Eastman Chemical Company** 

P.O. Box 511

Kingsport, Tennessee 37662

Phone:

(423) 229-1793

FAX:

(423) 229-1239

chael J. Blake

Registration No. 37,096

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper(s) referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop RCE, P. O. Box 1450, Alexandria, VA. 22313-1450.

Jo Ann Elam

P	Please type a plu	us (+) sign in this	s box —	<b>→</b> [+]				
6	Substitute for fo				Attorney Docket Num	nber	71360(US03)	
	70				First Named Inventor	•	Boaz et al.	
<b>!!</b> 0	WNFORM.	ATION D	ISCL	OSURE	COMPLETE IF KNOWN			
	STATEM	ENT BY	APPI	LICANT	Application Number	10	/721,714	
					Filing Date	No	ovember 25, 2003	
					Group Art Unit	16	24	
•	Sheet	1	of	2	Examiner Name	Br	uck Kifle	

U.S. PATENT DOCUMENTS								
Examiner	Cite	U.S.Patent Do			Date of Publication of Cited			
Initials*	No.1	Number Kind Co		Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY			
		4,108,992		Pearson et al	08/22/78			
		4,446,146		Southgate et al	05/01/84			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>	
		DE	19918420		Aventis Research & Technologies GmbH & Co. KG	10/26/00		
		JP	10279541		Patent Abstracts of Japan	10/20/98	√	
GB 1 309 692		UCB S.A.	03/14/73					
		GB	2,110,207		Hoffmann-La Roche	06/15/83		
		GB	2,202,075		Harder et al	09/14/88		
		EP	0 106 988		The Wellcome Foundation, Ltd.	05/02/84		
		EP	0 306 266		The University of Notre Dame	03/08/89		
		EP	0365 992		Abbott Laboratories	05/02/90		
		wo	92 16497		Japan Tobacco, Inc.	10/01/92	1	
		wo	96 17862		Abbbott Laboratories	06/13/96		
-		wo	97 40809		Queen's University of Kingston	11/06/97		
		wo	01 62726		UCB S.A.	08/30/01		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>1</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

APR	Please type abus (+) sign in this box —				
ŽĮ (	Paule Marin Saberitute for form 1449	Attorney Docket Number First Named Inventor		71360(US03)  Boaz et al.	
	Sopetitute for form 1449				
	INFORMATION DISCLOSURE	COMPLETE IF KNOWN			
	STATEMENT BY APPLICANT	Application Number	10	10/721,714	
:		Filing Date	No	November 25, 2003	
		Group Art Unit	16	24	

**Examiner Name** 

2

of

2

Sheet

**Bruck Kifle** 

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1						
		Katritzky et al, Journal of Organic Chemistry, 2000, Vol. 65, Pages 4364-4369					
		Lloyd et al, Tetrahedron, 1999, Vol. 55, No. 33, Pages 1021-10220					
-		Nicolas et al, Drug Metabolism and Disposition, 1999, Vol. 27, No. 2, Pages 250-254					
		Wolfe et al, Journal of Organic Chemistry, 1997, Vol. 62, Pages 654-663					
		Kim et al., Bulletin of the Korean Chemical Society, 1996, Vol. 17, No. 1, Pages 34-38					
		Noyer et al, European Journal of Pharmacology, 1995, Vol. 286, No. 2, Pages 137-146					
		Baggaley et al, Journal of The Chemical Society, 1990, Vol. 6, Pages 1521-1533					
		Thaisrivongs et al, Journal of Medicinal Chemistry, 1988, Vol. 31, No. 7, Pages 1369-1376					
		Shipov et al, Journal of General Chemistry of the U.S.S.R., 1991, Pages 2142-2150					
		Roth et al, Tetrahedron, 1995, Vol. 51, No. 3, Pages 801-810					

Examiner	Date	
Signature	Consid	lered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.